

CAPITAL MARKET AND SECURITIES LAW

Lecturers

dr. SAMEC BERGHAUS NATAŠA

Prerequisites

Understanding and knowing of basics of securities as promises and knowing of corporate subjects.

Content (Syllabus outline)

Capital market and securities law as intradisciplinary subject: introduction, analysis of obligatory, corporate and public standpoints and delimitation to competition issues.

Securities and other financial instruments of capital market: obligatory and corporate elements of securities, property securities, debt securities, hybrid securities, option securities, options, futures and other derivate financial instruments. Security market as part of capital market: primary and secondary securities market, organized securities market, authorized participant of securities market, institutional infrastructure of securities market, "underwritteing", forbidden acts, control. Slovene and European takeover law: term / definition types of takeovers, mandatory bid, voluntary bid, target company, threshold, consolidation of control, exceptions of giving mandatory bid, procedure, obligation law aspects of the bid, defense tactics of the target company. Dematerialization of securities: term / definition, central register of dematerialized securities in his governing and subject of inscription. Investment founds: term / definition, mutual found, investment company, investment policy, control.

Textbooks

EU Securities and Financial Markets Regulation, Third edition, Niamh Moloney, Oxford University Press, 7.1.2016, ISBN 9780199664351,

European Capital Markets Law, Rüdiger Veil, Hart Publishing, 2nd Edition, 6.4.2017, ISBN 9781782256526,

Soft Law and the Global Financial System: Rule Making in the 21st Century, Chris Brummer, Second edition 2015, ISBN 978-1-107-56944-7 and

Market Abuse and Insider Dealing; Barry Rider, Kern Alexander, Stuart Bazley, Jeffrey Bryant 25-02-2016, Edition: 3rd, ISBN: 9781780434957